



## UNITED STATES OFFICE OF PERSONNEL MANAGEMENT

Federal Investigative  
Services

### Federal Investigations Notice

Notice No. 12-04

Date: February 9, 2012

**Subject: Security and Suitability Investigations Index (SII), Central Verification System (CVS), National Agency Check (NAC) Item**

On October 1, 2011, the U.S. Office of Personnel Management (OPM) expanded the search of the Security and Suitability Investigations Index (SII) to include the Central Verification System (CVS). CVS contains information on security clearances, investigations, suitability, fitness determinations, Homeland Security Presidential Directive 12 (HSPD-12) decisions, Personal Identification Verification (PIV) credentials, and polygraph data. This data is provided to CVS from agency sources, OPM legacy systems, and the Joint Personnel Adjudication System (JPAS). On the Case Closing Transmittal (CCT) document for closed investigations, this new CVS NAC item appears as type SIIC and the possible results include: Acceptable Attached, Issues, or Referred. CVS is used by Federal agencies to validate the need for initiating new investigations and for reciprocity. The SIIC NAC will provide security office personnel and suitability adjudicators with important information to be used in their security and suitability programs.

The SIIC report generated on each subject will become part of an OPM, Federal Investigative Services (FIS) background investigation. The OPM-FIS Freedom of Information and Privacy Act (FOI/PA) office will routinely release this information directly to a subject upon his/her request under the Privacy Act without consultation with your agency. You may wish to consult with your agency's FOI/PA office for guidance about the implications of the release of this information for your agency.

Please refer to the *CVS 2.0 User Guide* for information pertaining to CVS and the data elements on the SIIC report. The complete *CVS 2.0 User Guide* can be found in OPM's Investigative Services (OPMIS) Secure Portal under the Public Library Category, CVS Folder. Questions may be directed to OPM's External Affairs, Agency System and Liaison staff at 724-794-5612, extension 4600 or to the group 'CVS Help' in the OPMIS Secure Portal.

Merton W. Miller  
Associate Director  
Federal Investigative Services

Attachments: SIIC NAC Results; SIIC NAC Questions and Answers

Inquiries:	OPM-FIS, Agency Liaison, 724-794-5612, ext. 4600
Code:	736, Investigations
Distribution:	SOI/SON
Notice Expires:	Until superseded

<b>SIIC NAC Results</b>	
<b>AA: Acceptable Attached</b>	<p>The item will be updated to AA if a record of the subject is found in CVS, but no unfavorable information exists (i.e., clearances in the active or unknown status, favorably adjudicated investigations when no issues exist, etc.). The SIIC report will be included in the case file and sent to the agency security office (SOI – Security Office Identifier).</p>
<b>RF: Referred</b>	<p>The item will be updated to RF when information contained in a previous OPM investigation meets any of the criteria below:</p> <ul style="list-style-type: none"> <li>• Fingerprint results are classifiable-record (CR): refer to the FBIF/FBFN item on the current investigation</li> <li>• Fingerprint results are classifiable-record in a closed, unacceptable, discontinued, or incomplete investigation in the subject’s investigative record: refer to the FBIF/FBFN item on the current investigation</li> <li>• A closed investigation includes a seriousness code of: A, B, C, D, E, H, J, K, L, O, P, Q, R, or W: refer to the SIIF item on the current investigation</li> </ul> <p>The SIIC report will be included in the case file and sent to the agency security office (SOI – Security Office Identifier).</p>
<b>IS: Issues</b>	<p>The item will be updated to IS when information in the subject’s CVS record meets any of the criteria below:</p> <ul style="list-style-type: none"> <li>• There is a “please call” present on an adjudication. The “please call” is automatically populated as a result of adjudication codes 4-11 from the INV 79A</li> <li>• A current OPM debarment exists</li> <li>• A clearance has one of the following statuses: revoked, suspended, or denied</li> <li>• An exception is reported on a clearance (i.e., bond amendment, deviation, condition, waiver)</li> <li>• No exceptions exist, but the agency requests a “please call” or annotates foreign immediate family members when reporting a clearance to CVS</li> </ul> <p>The SIIC report will be included in the case file and sent to the agency security office (SOI – Security Office Identifier).</p>

<b>SIIC NAC Questions and Answers</b>	
Which case types will generate an SIIC report if CVS data is available on the subject?	02 – National Agency Check and Inquiries (NACI) 06 – National Agency Check (NAC) 07 – Peace Corps National Agency Check (PC NAC) 08 – National Agency Check with Law and Credit (NACLIC) 09 – Access National Agency Check with Inquiries (ANACI) 11 – Periodic Reinvestigation (PRI) 15 – Moderate Risk Background Investigation (MBI) 18 – Single Scope Background Investigation – Periodic Reinvestigation (SSBI-PR) 19 – Phased Periodic Reinvestigation (PR) 25 – Background Investigation (BI) 30 – Single Scope Background Investigation (SSBI) 92 – Special Agreement Check of investigations conducted by OPM or other Federal investigative agencies (SAC code A)
What if I don't see the SIIC NAC on the CCT?	The SIIC will not schedule and will not appear on the CCT when no CVS data exists on a subject.
Will JPAS data be included on the SIIC?	No. Upon conducting an SII/CVS/JPAS Search from within the CVS Menu, CVS crosses an electronic bridge, retrieves the subject's JPAS record and displays it to the CVS user. Although viewable via the OPM hosted bridge, JPAS data is not stored or maintained in PIPS/CVS and therefore, not be included on the SIIC reports.
Will subjects of investigation ever see the data on the SIIC report?	Yes. Subjects may request a copy of their investigations from OPM's Freedom of Information and Privacy Act Office. If an SIIC report was included in the subject's investigative records, it will be provided to the subject without agency consultation.
What if unadmitted security or suitability issues are disclosed on the SIIC report?	The Expanded Subject Interview (ESI) Investigator will resolve and report these issues in the Report of Investigation (ROI) in conformance with current OPM issue resolution policies.
Will the subject or other agencies know which agency reported unfavorable clearance or other information to CVS?	Yes. The SIIC report will list the telephone number of the agency security office responsible for reporting unfavorable clearance or other information to CVS. If you wish to update the telephone number on file with OPM for your SOI, please use PIPS Form 11, available on our website: <a href="http://www.opm.gov/investigate">www.opm.gov/investigate</a> , under HR/Security Resources, OPM Access Forms.
Where can I find more information about CVS?	Refer to the <i>CVS 2.0 User Guide</i> available on the OPMIS Secure Portal under the Public Library Category, CVS Folder.
If there are other questions about the SIIC NAC, who do we contact?	Contact OPM's External Affairs, Agency System and Liaison staff at 724-794-5612, ext. 4600, or send an OPMIS Secure Portal message to the group 'CVS Help'.